## PLDT

Philippine Stock Exchange, Inc.
Disclosure Department
$6{ }^{\text {th }}$ Floor Philippine Stock Exchange Tower
$28^{\text {th }}$ Street corner $5^{\text {th }}$ Avenue,
Bonifacio Global City, Taguig City

Attention: Ms. Janet A. Encarnacion Head - Disclosure Department

## Gentlemen:

In connection with our previous report on the Initial Statement of Beneficial Ownership of Securities (with unsigned Form 23-A) dated November 15, 2019, which was received by your office on November 18, 2019, we are submitting herewith the signed Initial Statement of Beneficial Ownership of Securities (Form 23-A) in the Capital Stock of PLDT Inc. of Messrs. Roy Victor E. Añonuevo and Aniceto M. Franco III and Ms. Mary Eleanor Nadal-Wei.

Thank you


# Attention: Mr. Vicente Graciano P. Felizmenio, Jr. Director- Markets and Securities Regulation Dept. 

## Gentlemen:

In connection with our previous report on the Initial Statement of Beneficial Ownership of Securities (with unsigned Form 23-A) dated November 15, 2019, which was received by your office on the same date, we are submitting herewith the signed Initial Statement of Beneficial Ownership of Securities (Form 23-A) in the Capital Stock of PLDT Inc. of Messrs. Roy Victor E. Añonuevo and Aniceto M. Franco III and Ms. Mary Eleanor Nadal-Wei.

Thank you


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## COVER SHEET

SEC Registration Number

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Principal Office (No./Street/Barangay/City/Town/Province)


Form Type


Company's Email Address


| Annual Meeting <br> Month/Day |
| :---: |
| Every $\mathbf{2}^{\text {nd }}$ Tuesday <br> of June |

Secondary License Type, If Applicable


CONTACT PERSON INFORMATION
The designated contact person MUST be an Officer of the Corporation

| Name of Contact Person | Email Address | Telephone Number/s | Mobile Number |
| :---: | :---: | :---: | :---: |
| Atty. Ma. Lourdes C. Rausa-Chan | Irchan@pldt.com.ph | 8816-8553 |  |
| Contact Person's Address |  |  |  |
| 9/F MGO Building, Legaspi cor. Dela Rosa Streets, Makati City |  |  |  |

Note: In case of death, resignation or cessation of office of the officer designated as contact person, such incident shall be reported to the Commission within thirty (30) calendar days from the occurrence thereof with information and complete contact details of the new contact person designated.


SECURITIES AND EXCHANGE COMMISSION
Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines Tel:(632) 818-0921 Fax:(632) 818-5293 Email: mis@sec.gov.ph

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Company Representative
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Company Information
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Company Name PLDT INC.
Industry Classification
Company Type Stock Corporation
Document Information

| Document ID | 1578644603341 |
| :--- | :--- |
| Document Type | Initial Statement of Beneficial Ownership |
| Document Code <br> Period Covered | 23A |
| No. of Days Late |  |
| Department <br> Remarks |  |
|  | REMEmber 07, 2019 |



## If the reporting person previously owned $5 \%$ or more but less than $10 \%$,

 provide the disclosure requirements set forth on page 3 of this Form.Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.
(Print or Type Responses)
(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
(A) Voting power which includes the power to vole, or to direct the voting of, such security; and/or
(B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
(2) A person will be deemed to have an indirect beneficial interast in any equity security which is
(A) held by members of a person's immediate family sharing the same household;
(B) held by a partnership in which such person is a general partner;
(C) held by a corporation of which such person is a controlling shareholder, orheld by a corporation of which such person is a controlling shareholder, or
(D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect
to such security.

FORM 23-A (continued) Table II - Derivative Securities Beneficially Owned (e.g, warrants, options, convertible securities)

| 1. Derivalive Securily | 2. Date Exercisat |  | $\begin{aligned} & \text { 3. Tille and Amount } \\ & \text { Underlying the D } \end{aligned}$ | Securites |  |  | $\left\lvert\, \begin{gathered} \text { 5. Nature of Indiriecd } \\ \text { Bonoticial Owesship } \end{gathered}\right.$ |
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|  | $\begin{aligned} & \text { Daier } \\ & \text { Exercisabile } \end{aligned}$ | $\begin{aligned} & \text { Expration } \\ & \text { Date } \end{aligned}$ | Twe | $\begin{aligned} & \text { Amount or } \\ & \text { Number of } \\ & \text { Shares } \end{aligned}$ | Secunty | Direct (0) or indirect (1). |  |
| NA | NA | NA | NA | NA | NA | NA | NA |
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Explanation of Responses:

## FOR REPORTING PERSONS WHO PREVIOUSLY OWNED 5\% OR MORE BUT LESS THAN 10\% DISCLOSURE REQUIREMENTS

## Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

## Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person provide the information specified in (a) through (f) of this Item with respect to such person(s).
a. Name;
b. Residence or business address;
c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
f. Citizenship.

## Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:
a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
e. Any material change in the present capitalization or dividend policy of the issuer;
f. Any other material change in the issuer's business or corporate structure;
g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
h. Causing a class of securities of the issuer to be delisted from a securities exchange;
i. Any action similar to any of those enumerated above.

Item 4. Interest in Securities of the Issuer
a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.
b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by ltem 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
c. Describe any transaction in the class of securities reported on that were effected during the past sixty ( 60 ) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5\%) percent of the class, such person should be identified.
e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5\%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer
Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

## Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:
a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Makati on N.r.....,2019

## By: ROY VICTOR E. AÑONUEVO, Vice President

(Name/Title)


## SECURITIES AND EXCHANGE COMMISSION

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Document Information

Document ID
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Remarks REPORTING PERSON: FRANCO ANICETO III MARQUEZ

1578644601796
Initial Statement of Beneficial Ownership 23A
November 07, 2019


If the reporting person previously owned $5 \%$ or more but less than $10 \%$. provide the disclosyre requirements.set forth on page 3 of this form.

Reminder: Report on a separate line for oech class of oquity securities beneficially owned directly or indirectly.
(Print or Type Responses)
(1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
(A) Voting power which includes the power to vote, or to direct the voting of, such security, and/or
(B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
(2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
(A) held by members of a person's immediate family sharing the same household;
(B) held by a partnership in which such person is a general partner;
(C) held by a corporation of which such person is a controlling shareholder; orheld by a corporation of which such person is a controlling shareholder, or
(D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect
to such security.

FORM 23-A (continued) Table II - Derivative Securities Beneficially Owned (e.g, warrants, options, convertible securities)

| 1. Derivative Security | $\begin{aligned} & \text { 2. Date Exercisable } \\ & \text { and Expiration Date } \\ & \text { (Month/Day } / \text { Year) } \end{aligned}$ |  | 3. Title and Amount of Equity Securities Underlying the Derivative Security |  | 4. Conversion <br> or Exercise <br> Price of <br> Vervative <br> Security | 5. Ownership <br> Form of <br> Derivative <br> Securty <br> Direct (D) or <br> Indirect (1). | 6. Nature of IndirectBeneficial Ownership |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\begin{array}{\|l\|} \hline \text { Date } \\ \text { Exercisable } \end{array}$ | $\begin{aligned} & \text { Expiration } \\ & \text { Date } \end{aligned}$ | Tille | $\begin{aligned} & \text { Amount or } \\ & \text { Number of } \\ & \text { Snares } \end{aligned}$ |  |  |  |
| NA | NA | NA | NA | NA | NA | NA | NA |
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Explanation of Responses:

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a. Name;
b. Residence or business address;
c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
f. Citizenship.

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b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
e. Any material change in the present capitalization or dividend policy of the issuer;
f. Any other material change in the issuer's business or corporate structure:
g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
h. Causing a class of securities of the issuer to be delisted from a securities exchange;
i. Any action similar to any of those enumerated above.

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b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
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d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5\%) percent of the class, such person should be identified.
e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five ( $5 \%$ ) percent of the class of securities, state the date on which such beneficial ownership was reduced.

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Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

## Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:
a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Makati on $\square$ 2019

By: ANICETO M. FRANCO III, Vice President

(Signature)

##  <br> 1578644604656 <br> SECURITIES AND EXCHANGE COMMISSION <br> Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

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Company Information
SEC Registration No. PW00000055
Company Name PLDT INC.
Industry Classification
Company Type
Stock Corporation

Document Information

Document ID
Document Type
Document Code
Period Covered No. of Days Late
Department
Remarks

1578644604656
Initial Statement of Beneficial Ownership 23A

November 07, 2019

REPORTING PERSON: NADAL-WEI MARY ELEANOR LYDIA PUNZALAN

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES
Fied pursuant to Section 23 of the Securites Regulation Code


## If the reporting person previousfy owned 5\% or more but less than $10 \%$ provide the disclosure requirements set forth on page 3 of this Form.

[^0]Table II - Derivative Securties Beneficially Owned (e.g., warrants, ootions, convertible securities)

| 1. Derivative Security | $\begin{aligned} & \text { 2. Date Exerclisable } \\ & \text { and Expritation Date } \\ & \text { (MaxtivDay/fear) } \end{aligned}$ |  | 3. Title and Amount of Equity Securities Underlying the Derivative Security |  | 4. Corversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security Direct (D) or Indirect (I) - | 6. Nature of Indirect Beneficial Ownership |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\begin{array}{\|l} \hline \text { Date } \\ \text { Exercisable } \end{array}$ | $\begin{aligned} & \text { Expiration } \\ & \text { Date } \end{aligned}$ | Tite |  |  |  |  |
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Explanation $\alpha$ Responses:

## 

Item 1. Security and lesuer
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Hem 2. Idontity and Backcrownd
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b. Residence or butiness address:
c. Present principal occupation or employment and the name, principal busihess and address of any corporation or other organization in which such omployment is conducted;
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Hem 3.
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vacancies on the board,

1. Any other materiat change in the issuer's business or copporate stincture:
Q. Changes in the issuars charrer, byiaws or instivmmants corresponding thereto or other actions wich may irpede the acquisition of control of the lasuer by any person 1. Ary action simila to any of those enumeratod obove.

Item 4. Interest in Securities od the lsover
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c. Describe any transaction in the class of securities reported on that were efficied during the past sixity ( $\mathbf{0}$ ) days by the persons namad in response to paragraph (a). The description shall inchude, but not necessarity be lirted to: (1) the ibontity of the person who uffectod the transaction; (2) the date of the transaction: (3) the amount of secunties involved, (4) the porce per share or unit; and (5) where or how the transaction was effectec

e. II the fiting is an amandment reflecting the fact that the roporting parsion has ceased to be the beneficial ownor of more than five (5\%) porcent of the class of securities, stato the date on which such beneficiel ownership wes reduced.
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Item 6.
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Copios of at wititen agreements, contracts, arangements, understandings, plans or proposals relating to:
. The acquisition of issuer control, fiquidation, ssibe of assels, merget, or change in businass or corporate structure or any other motiter as disclosod in liem 3; and proxy as disclosed in It them 5

Atter reasonabie inquiry and to the best of my knowiedpe and belief, I certity that the information set forth in this Report is true, complete and accurate.
After reasonable inquiry and to the best of my knowiodee an
This report is sligned in the City of Makati on $11 / 15.2019$
By: MARY ELEANOR LYDIA P. NADAL-WEI, Vice President
(Signat(es)


[^0]:    Reminder. Reoort on a separate line for each class of equity securites beneficially omed drectly or indirectly.
    Print o Type Responses)
    (1) A person is drectly or indrecty the beneficial amer $\alpha$ ary equity security with respect to which he has or shares
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    (2) A person wal be deemed to have an indrect bendicide interest in ary equity security which is:
    (A) heid by members of a persor's inmedite famiy sharing the same household theld by members of a persan's immediate family sharing the same househock (B) held by a partnership in which such person is a general partner,
    (B) hed by a partnership $n$ which such perscon is a general parn ner,
    (D) sutiect to any contract, arrangement or understanding which gives such person voting power oo irvestment power with respect
    to such secirity

