

December 7, 2020

Philippine Stock Exchange
Disclosure Department
6th Floor Philippine Stock Exchange Tower
28th Street corner 5th Avenue
Bonifacio Global City, Taguig City

Attention: Ms. Janet A. Encarnacion Head, Disclosure Department

#### Gentlemen:

We are submitting herewith the Statement of Changes in Beneficial Ownership of Securities (Form 23-B) in the Capital Stock of PLDT Inc., showing the changes in the shareholdings of Messrs. Alfredo S. Panlilio, Florentino D. Mabasa, Jr., Luis Gregorio D. Casas and Ms. Marilyn A. Victorio-Aquino for the month of November 2020.

The original signed Form 23-B of Mr. Casas will be filed immediately upon our receipt thereof.

Thank you.

Very truly yours,

PLDT Inc.

MA. LOURDES C. RAUSA-CHAN

Mars

Corporate Secretary



December 7, 2020

Securities and Exchange Commission Ground Floor Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City 1307

Attention: Mr. Vicente Graciano P. Felizmenio, Jr.

<u>Director – Markets and Securities Regulation Dept.</u>

### Gentlemen:

We are submitting herewith the Statement of Changes in Beneficial Ownership of Securities (Form 23-B) in the Capital Stock of PLDT Inc., showing the changes in the shareholdings of Messrs. Alfredo S. Panlilio, Florentino D. Mabasa, Jr., Luis Gregorio D. Casas and Ms. Marilyn A. Victorio-Aquino for the month of November 2020.

The original signed Form 23-B of Mr. Casas will be filed immediately upon our receipt thereof.

Thank you.

Very truly yours,

PLDT Inc.

MA. LOURDES C. RAUSA-CHAN

**Corporate Secretary** 

# **COVER SHEET**

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Note: In case of death, resignation or cessation of office of the officer designated as contact person, such incident shall be reported to the Commission within thirty (30) calendar days from the occurrence thereof with information and complete contact details of the new contact person designated.



December 17, 2020

Philippine Stock Exchange, Inc. Disclosure Department 6<sup>th</sup> Floor Philippine Stock Exchange Tower 28<sup>th</sup> Street corner 5<sup>th</sup> Avenue Bonifacio Global City, Taguig City

Attention: Ms. Janet A. Encarnacion

Head - Disclosure Department

### Gentlemen:

In connection with our previous report on the Statement of Changes in Beneficial Ownership of Securities (with unsigned Form 23-B) dated December 7, 2020, which was received by your office on December 9, 2020, we are submitting herewith the signed Statement of Changes in Beneficial Ownership of Securities (Form 23-B) in the Capital Stock of PLDT Inc. of Mr. Luis Gregorio D. Casas.

Thank you

Very truly yours,

PLDT Inc.

MA. LOURDES C. RAUSA-CHAN

Alfans

Corporate Secretary



December 17, 2020

Securities and Exchange Commission G/F Secretariat Building, PICC Complex, Roxas Boulevard Pasay City 1307

Attention: Mr. Vicente Graciano P. Felizmenio, Jr.

<u>Director- Markets and Securities Regulation Dept.</u>

#### Gentlemen:

In connection with our previous report on the Statement of Changes in Beneficial Ownership of Securities (with unsigned Form 23-B) dated December 7, 2020, which was received by your office on the same date, we are submitting herewith the signed Statement of Changes in Beneficial Ownership of Securities (Form 23-B) in the Capital Stock of PLDT Inc. of Mr. Luis Gregorio D. Casas.

Thank you

Very truly yours,

PLDT Inc.

MA. LOURDES C. RAUSA-CHAN

Corporate Secretary

# **COVER SHEET**

SEC Registration Number W 5 5 Company Name P D T N C.  $\mathbf{L}$ I Principal Office (No./Street/Barangay/City/Town/Province) G N  $\mathbf{N} \mid \mathbf{G}$  $\mathbf{C}$  $\mathbf{o}$ I L D I R M O 0 J U В U N A K I V E N E M K T I C I T Y M A A T A U A Department requiring the report Form Type Secondary License Type, If Applicable  $\mathbf{S} \mid \mathbf{R} \mid \mathbf{D}$ В 3 M **COMPANY INFORMATION** Company's Email Address Company's Telephone Number/s Mobile Number 8816-8553 Annual Meeting Fiscal Year No. of Stockholders Month/Day Month/Day 11,579 Every 2nd Tuesday **December 31** As of November 30, 2020 of June CONTACT PERSON INFORMATION The designated contact person <u>MUST</u> be an Officer of the Corporation Telephone Name of Contact Person **Email Address** Mobile Number Number/s 8816-8553 Atty. Ma. Lourdes C. Rausa-Chan lrchan@pldt.com.ph Contact Person's Address 9/F MGO Building, Legaspi cor. Dela Rosa Streets, Makati City

Note: In case of death, resignation or cessation of office of the officer designated as contact person, such incident shall be reported to the Commission within thirty (30) calendar days from the occurrence thereof with information and complete contact details of the new contact person designated.

# SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

**FORM 23-B** 

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Check box if no longer subject Filed pursuant to Section 23 of the Securities Regulation Code to filing requirement

2. Issuer Name and Trading Sy	mbol			7. Relationship of Reporting Person to Issuer					
						(Check all applied	cable)		
	PLDT Inc. (	TEL)							
Tax Identification			r	i ——	Director		10% Owner		
Number		Month/Year		_x			Other (specify below)		
129-457-9	952	Nove	mber 2020		(3)		(		
4. Citizenship		6. If Amendmer	nt, Date of		Chief Revenue	e Officer			
		Original (Mon	thYear)						
1			N/A						
FILIPING	C								
			T.U. 4 E 11 O	D 5 . H O					
			Table 1 - Equity Se	ecurities Beneficially Ow	ned				
	4. Securities Acqu	ired (A) or Dispos	sed of (D)	Amount of Securities	s Owned at End of Month				
						Direct (D) or Ind	Ownership		
(Month/Day/Year)	Amount	(A) or (D)	Price	- %	Number of Shares				
November/10/2020	205	Α	P1,421.00	0.005716%	12,505	ı	Thru PCD		
	(shares)		(per share)		(PCD)				
	,		,						
				0.001371%	3,000				
					(Certificated)				
					·				
			TOTAL	0.007087%	15,505				
				NOTE: Total issued	and outstanding share	es as of Noveml	ber 30, 2020 = 218,779,886		
	3. Tax Identification Number  129-457-9 4. Citizenship  FILIPING  2. Transaction Date (Month/Day/Year)  November/10/2020	3. Tax Identification Number  129-457-952  4. Citizenship  FILIPINO  2. Transaction Date (Month/Day/Year)  Amount  November/10/2020  205  (shares)	PLDT Inc. (TEL)  3. Tax Identification	PLDT Inc. (TEL)  3. Tax Identification Number  129-457-952  4. Citizenship  5. Statement for Month/Year  November 2020  6. If Amendment, Date of Original (MonthYear) N/A  FILIPINO  Table 1 - Equity Solution (Month/Day/Year)  4. Securities Acquired (A) or Disposed of (D)  Amount (A) or (D) Price  November/10/2020  November/10/2020  (shares) (per share)	PLDT Inc. (TEL)   3. Tax Identification   5. Statement for   Month/Year   x     129-457-952   November 2020     4. Citizenship   6. If Amendment, Date of   Original (Month/Year)   N/A     FILIPINO   Table 1 - Equity Securities Beneficially Ow   2. Transaction   Date   (Month/Day/Year)     4. Securities Acquired (A) or Disposed of (D)   3. Amount of Securities   (Month/Day/Year)     %	PLDT Inc. (TEL)  3. Tax Identification Number  129-457-952  November 2020  4. Citizenship  6. If Amendment, Date of Original (Month/Year) N/A  FILIPINO  Table 1 - Equity Securities Beneficially Owned  2. Transaction Date (Month/Day/Year)  Amount (A) or (D) Price  November/10/2020  Amount (A) or (D) Price  November/10/2020  (Shares)  (per share)  PLDT Inc. (TEL)  Director Officer (gyre true benow)  Amount of Securities Owned at End of Month Number of Shares  Number of Shares  (per share)  (pcD)  TOTAL  0.001371%  3,000  (Certificated)	PLDT Inc. (TEL)  3. Tax Identification Number  129-457-952  November 2020  4. Citizenship  6. If Amendment, Date of Original (MonthYear) N/A  FILIPINO  Table 1 - Equity Securities Beneficially Owned  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  Amount (A) or (D) Price  November/10/2020  (Shares)  (per share)  (Check all application Director Officer (give nine perow)  Amount (A) or Or Disposed of (D)  (Shares)  (per share)  (Check all application Director Officer (give nine perow)  Amount (A) or Or Disposed of (D)  (Shares)  (per share)  (Check all application Director Officer (give nine perow)  (A) Or Or Original (Month/Pear)  (A) Or Or Disposed of (D)  (B) Amount of Securities Owned at End of Month or Disposed of (D) On Inc.  (Check all application Director Officer (give nine perow)  (A) Or Original (Month/Pear)  (B) Amount of Securities Owned at End of Month or Disposed of (D) On Inc.  (Check all application Director Officer (give nine perow)  (A) Or Original (Month/Pear)  (B) Amount of Securities Owned at End of Month or Disposed of (D) On Inc.  (Chief Revenue Officer  (Ghief Revenue Officer  (give nine perow)  (A) Ownership For Direct (D) or Inc.  (B) Ownership For Inc.  (Chief Revenue Officer  (Ghief Revenue Officer  (Green)  (D) Ownership For Inc.  (Chief Revenue Officer  (Green)  (D) Ownership For Inc.  (D) Own		

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

# FORM 23-B (continued)

Attach additional sheets if space provided is insufficient.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

Derivative Security	2. Conversion or Exercise Price of Derivative Security	Transaction     Date (Month/Day/Yr)	Number of Derivat Acquired (A) or Di		5. Date Exercisable and Expiration Date (Month/Day/Year)  Date Exercisable	Expiration	6. Title and Underlying S			8. No. of Derivative Securities Beneficially Owned at End of	9. Owner- ship Form of Derivative Security; Direct (D) or	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Date	Title	Number of Shares		Month	Indirect (I) *	
NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
			·									

Explanation of Responses:			

Note: File **three (3)** copies of this form, one of which must be manually signed.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

### Item Security and Issuer

•

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item Identity and Background

2.

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

# Item Purpose of Transaction

3.

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board:
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item Interest in Securities of the Issuer

4.

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

5.

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item Material to be Filed as Exhibits

6.

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Makati on Dec. 3, 2020.

(Signative of Reporting Person)

ALFREDO S. PANLILIO Chief Revenue Officer

#### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM	23-B

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Check box if no longer subject to filing requirement

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person	Issuer Name and Trading Syr	mbol			7. Relationship of Rep	orting Person to Issuer	<del></del>	<del></del>
MARACA ELORENTINO IR DELENI						11 <del>5</del> 11	(Check all applica	ble)
MABASA, FLORENTINO, JR. DELFIN (Last) (First) (Middle)		PLDT Inc. (T						
(Last) (First) (Middle)	Tax Identification     Number		<ol><li>Statemen Month/Ye</li></ol>		_x	Officer (give title below)		10% Owner Other (specily below)
26 Don Jesus Boulevard, Alabang Hills Village,	145-829-0	40	Nove	ember 2020		(3.10 1110 201011)		(Specify Below)
(Street)	4. Citizenship		6. If Amenda	ment, Date of		First Vice Presid	dent & Asst. C	orporate Secretary
			Original (M	MonthYear)				
Muntinlupa City 1711	FILIPINO	D N/A						
(City) (Province) (Postal Code)				Table 1 - Equi	ty Securities Beneficiall	y Owned		
Class of Equity Security	Transaction     Date	uired (A) or D	isposed of (D)	Amount of Securities Owned at End of Month		4 Ownership Form Direct (D) or Indire	6. Nature of Indirect Beneficial	
	(Month/Day/Year)	(Month/Day/Year) Amount			%	Number of Shares	1	O WITCH COLOR
Common Capital Stock	November/16/2020	600	(A) or (D)	Price P1,381.00	0.0040700/	0.004		
Gommen Gapital Glook	November/10/2020	(shares)	A		0.001673%	3,661	1 .	Thru PCD
		(Shares)		(per share)		(PCD)	-	
					0.0000000%	0	N/A	N/A
						(Certificated)		
				TOTAL	0.001673%	3,661		
Note: Last filing of Form 23-B on June 19, 2020								
N								
					NOTE: Total issued:	and outstanding share	s as of November	30, 2020 = 218,779,886

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

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  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

# FORM 23-B (continued)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

of Derivative Security	Date (Month/Day/Yr)	Number of Derivative Securities     Acquired (A) or Disposed of (D)     Exercisable and Expiration Date (Month/Day/Year)		Title and Amount of Underlying Securities				Derivative Security	8. No. of Derivative Securities Beneficially Owned at	Owner- ship Form     of Derivative     Security;     Direct (D)	10. Nature of Indirect Beneficial Ownership
NA NA		Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I)	
NA NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	NA		Amount	Amount (A) or (D)	Amount (A) or (D) Date Exercisable	Amount (A) or (D) Date Exercisable Expiration Date	Amount (A) or (D)  Date Exercisable Expiration Date Title	Amount (A) or (D)  Date Exercisable Expiration Amount or Date Title Number of Shares	Amount (A) or (D)  Date Exercisable Expiration Amount or Date Title Number of Shares	Amount (A) or (D)  Date Exercisable Expiration Amount or End of Month  Amount (A) or (D)  Date Title Number of Shares	Amount (A) or (D)  Date Exercisable Expiration Date Title Number of Shares  Amount (A) or (D)  Date Exercisable Expiration Date Title Number of Shares

explanation of Responses
--------------------------

Note: File **three (3)** copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

# Item Security and Issuer

1.

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

### Item Identity and Background

2.

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

# Item Purpose of Transaction

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- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item Interest in Securities of the Issuer

4

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item Material to be Filed as Exhibits

6.

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of MAKATI on December 3, 2020.

By:

(Signature of Reporting Person)

FLORENTINO D. MABASA, JR. First Vice President & Assistant Corporate Secretary

#### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Check box if no longer subject to filing requirement

Filed pursuant to Section 23 of the Securities Regulation Code

. Name and Address of Reporting Person	Issuer Name and Trading System				7 Deletienship of Den	adina Danasa ta Isawas					
2. Issuer Name and Trading Symbol						Relationship of Reporting Person to Issuer     (Check all applicable)					
CASAS, LUIS GREGORIO DEL ROSARIO		PLDT Inc.	(TEL)				,				
Last) (First) (Middle)	Tax Identification		Statement for		<u> </u>	Director		10% Owner			
	Number		Month/Year		_x	Officer (give title below)		Other (specify below)			
Jnit 23C One Lafayette Condominium,	248-632-5	248-632-508 November 2020				(3.10 1110 11111)		(0,000)			
(Street)	4. Citizenship		6. If Amendment	t, Date of	1	Vice President					
132 LP Leviste Street, Salcedo Village	353	Original (MonthYear)			1						
				N/A							
Makati City	FILIPING	FILIPINO									
City) (Province) (Postal Code)				Table 1 - Equity S	ecurities Beneficially Ow	ned					
				Table 1 - Equity 3	eculties belieficially Ow	neu					
. Class of Equity Security	Transaction     Date  4. Securities Acquired (A) or Disposed of (D)				Amount of Securities Owned at End of Month     Ownership Form 6. Nature of Indirect Beneficial     Direct (U) or Indirect Ownership						
		Month/Day/Year)				Number of Shares	Direct (D) or indirect	Ownersnip			
	(,	Amount	(A) or (D)	Price	%						
Common Capital Stock	November/17/2020	40	Α	P1,390.00							
	November/19/2020	20	Α	P1,370.00							
	November/25/2020	40	Α	P1,327.00	0.000096%	211	I	Thru PCD			
		(shares)		(per share)		(PCD)					
					0.0000000%	0	N/A	N/A			
						(Certificated)					
				TOTAL	0.000096%	211					
Note: Last filing of Form 23-B on June 19, 2020											
					NOTE: Total issued	and outstanding share	s as of November	30, 2020 = 218,779,886			

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

(Print or Type Responses)

Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	Number of Derival     Acquired (A) or Di     Acquired (A) ar Di     Amount		5. Date Exercisable and Expiration Date (Month/Day/Year) Date Exercisable	Expiration Date	Title	Amount or Number	7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Owner- ship Form of Derivative Security; Direct (D) or Indirect (I) *	10. Nature of Indirect Beneficial Ownership
NA	NA	NA	NA	NA	NA	NA	NA	of Shares NA	NA	NA	NA	NA

Note: File **three (3)** copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

### Item Security and Issuer

1.

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item Identity and Background

2.

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

# Item Purpose of Transaction

3.

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board:
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item Interest in Securities of the Issuer

4.

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# Item Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Material to be Filed as Exhibits

6

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Makati on 12/14/2020

Rv.

Signature of Reporting Person)

LUIS GREGORIO D. CASAS Vice President

#### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM	23-B

to filing requirement

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Check box if no longer subject Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person		7. Relationship of Reporting Person to Issuer							
	Issuer Name and Trading Sy					30 <b>-</b> 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1	(Check all applicable)		
VICTORIO-AQUINO, MARILYN AZAU (Last) (First) (Middle)	JLA 3. Tax Identification	PLDT Inc. (			1	Director		100/ 0	
(Last) (First) (Midde)	Number		<ol><li>Statement for Month/Year</li></ol>		X	Officer		10% Owner Other	
303 San Antonio Street,	116-283-6	358	Noven	ber 2020	-	(give title below)		(specify below)	
(Street)	4. Citizenship		6. If Amendment, Date of		1		Senior Vice P	resident &	
Ayala Alabang Village,			Original (Month)	rear)			Chief Legal C	ounsel	
W 15 1 - 25				N/A					
Muntinlupa City (City) (Province) (Postal Code)	Filipino	)			l				
(out) (rounce) (rounce)				Table 1 - Eq	uity Securities Beneficial	lly Owned			
Class of Equity Security	Transaction     Date	Securities Acquired (A) or Disposed of (D)			3. Amount of Securities 0	Owned at End of Month	4 Ownership Form: Urrect (U) or incirect (I)	6. Nature of Indirect Beneficial	
	(Month/Day/Year)				% Number of Sh			Omicisiap	
		Amount	(A) or (D)	Price	1				
Common Capital Stock	November/10/2020	770	Α	P1,418.00					
	November/10/2020	230	Α	P1,419.00					
	November/10/2020	1,000	Α	P1,420.00					
	November/10/2020	1,500	Α	P1,421.00					
	November/10/2020	100	Α	P1,422.00					
	November/11/2020	130	Α	P1,403.00					
	November/11/2020	100	Α	P1,404.00					
	November/11/2020	1,000	Α	P1,407.00	0.004708%	10,300	11	Thru PCD	
	November/11/2020	1,000	Α	P1,408.00		(PCD)			
	November/11/2020	500	Α	P1,410.00	0.000000%	0	N/A	N/A	
	November/11/2020	1,500	Α	P1,412.00		(Certificated)			
Note: Last filing of Form 23-B on June 19, 2020		(shares)		(per share)				\$0	
				TOTAL	0.004708%	10,300			
					NOTE: Total issued and outstanding shares as of November 30, 2020 = 218,779,886				

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security, and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

# FORM 23-B (continued)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

Derivative Security	Conversion or Exercise Price of Derivative Security	of Derivative (Month/Day/Yr)		Number of Derivative Securities     Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		Title and Amount of Underlying Securities		8. No. of Derivative Securities Beneficially Owned at	of Derivative	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I) *	
NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

Explanation of Responses:

02/12/20

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

# IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

### Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

## Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

# Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the vote, sole or shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

## Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Makati on December 2, 2020.

(Signature of Reporting Person)

MARILYN A. VICTORIO-AQUINO

Senior Vice President & Chief Legal Counsel

(Name/Title)