

September 9, 2019

Philippine Stock Exchange Disclosure Department 6<sup>th</sup> Floor Philippine Stock Exchange Tower 28<sup>th</sup> Street corner 5<sup>th</sup> Avenue Bonifacio Global City, Taguig City

Attention: Ms. Janet A. Encarnacion

Head, Disclosure Department

# Gentlemen:

We are submitting herewith the Statement of Changes in Beneficial Ownership of Securities (Form 23-B) in the Capital Stock of PLDT Inc., showing the changes in the shareholdings of Messrs. Manuel V. Pangilinan, James L. Go and Juan Victor I. Hernandez for the month of August 2019.

The original signed Form 23-B of Mr. Hernandez will be filed immediately upon our receipt thereof.

Thank you.

Very truly yours,

PLDT Inc.

MA. LOURDES C. RAUSA-CHAN

Corporate Secretary



September 9, 2019

Securities and Exchange Commission Ground Floor Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City 1307

> Attention: Mr. Vicente Graciano P. Felizmenio, Jr. <u>Director – Markets and Securities Regulation Dept.</u>

# Gentlemen:

We are submitting herewith the Statement of Changes in Beneficial Ownership of Securities (Form 23-B) in the Capital Stock of PLDT Inc., showing the changes in the shareholdings of Messrs. Manuel V. Pangilinan, James L. Go and Juan Victor I. Hernandez for the month of August 2019.

The original signed Form 23-B of Mr. Hernandez will be filed immediately upon our receipt thereof.

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MA. LOURDES C. RAUSA-CHAN
Corporate Secretary

# **COVER SHEET**

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Note: In case of death, resignation or cessation of office of the officer designated as contact person, such incident shall be reported to the Commission within thirty (30) calendar days from the occurrence thereof with information and complete contact details of the new contact person designated.

A PUT







# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

# **Barcode Page**

The following document has been received:

Receiving Officer/Encoder : Buen Jose Mose - COS

Receiving Branch : SEC Head Office

Receipt Date and Time: September 10, 2019 10:49:51 AM

Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. PW0

PW00000055

Company Name

PLDT INC.

Industry Classification

Company Type

Stock Corporation

#### **Document Information**

Document ID

109102019000640

Document Type

Statement of Changes in the Beneficial Ownership

Document Code

23B

Period Covered

August 31, 2019

No. of Days Late

0

Department

CFD

Remarks

REPORTING PERSON:

PANGILINAN MANUEL VELEZ

#### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM 23-B
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to filing requirement

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#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

Name and Address of Reporting Person	2 Issuer Name and Trad	ing Symbol			Relationship of Reporting Person to issuer     (Check all applicable)					
PANGILINAN, MANUEL VELEZ		PLDT Inc	. (TEL)				(Circle all applicable)	N200-200		
(Last) (First) (Middle)	3 Tax Identification Number		5 Statement for Month/Year	6	x	Officer (give title below)		10% Owner Other (specify below)		
7th Floor Ramon Cojuangco Bldg.,	914-48	1-801		ust 2019		(give the below)	Chairman, President and CEO			
(Street)   Makati City, Metro Manila	4. Citizenship Filipi	no	If Amendment: Date of Original (Month Year)     N/A				Chamman, 1 resident and 626			
(City) (Province) (Postal Code)				Table 1 - I	Equity Securities Bene	ficially Owned				
1. Class of Equity Security	2. Transaction Date	Securities Acquired (A) or Disposed of (D)			3. Amount of Securities	Owned at End of Month	4 Ownership Form: Direct (D) or indirect (I)	Nature of Indirect Beneficial     Ownership		
	(Month/Day/Year)	Amount (A) or (I		Price	%	Number of Shares				
Common Capital Stock	August/16/2019			P1,169.80/	0.004487%	9,816	1	Thru PCD		
		(shares)		share		(PCD)				
					0.116919%	255,795	D	N/A		
				1	<b> </b>	(Certificated)				
				TOTAL	0.121406%	265,611				
NOTE: Last filing of SEC Form 23-B on July 16, 2019										
					Note: Total issued a	nd outstanding shares	as of August 31, 2019 = 2	218,779,886		
								1		

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security, and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is
  - (A) held by members of a person's immediate family sharing the same household,
  - (B) held by a partnership in which such person is a general partner.
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

(Print or Type Responses)

# FORM 23-B (continued)

# Table II - Derivative Securities Acquired Disposed of or Beneficially Owned (e.g., warrants, options, convertible securities)

1. Derivative Security	2 Conversion or Exercise Price of Derivative Security	Exercise Price Date of Derivative (Month/Day/Yr)		Number of Derivative Securities     Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		Title and Amount of Underlying Securities		8 No of Derivative Securities Beneficially Owned at	9. Owner- ship Form of Derivative Security. Direct (D)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or indirect (I)	
NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
article (Control Manufacture) and the second												

Ewn	annt	inn	of	Responses

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

### Item 1. Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

#### Item 2. Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted:
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

#### Item 3. Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item 4. Interest in Securities of the Issuer

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

## Item 5. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item 6. Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete and accurate. This report is signed in the City of Makati on 410 September 2019.

Bv: ...

(Signature of Reporting Person)

MANUEL V. PANGILINAN

Chairman, President and CEO

(Name/Title)







# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel:(632)726-0931 to 39 Fax:(632)725-5293 Email: mis@sec.gov.ph

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Receiving Officer/Encoder : Buen Jose Mose - COS

**Receiving Branch** : SEC Head Office

Receipt Date and Time: September 10, 2019 10:49:06 AM

PLDT INC.

Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. PW0000055

Company Name

Industry Classification

Company Type Stock Corporation

**Document Information** 

Document ID 109102019000632

Document Type Statement of Changes in the Beneficial Ownership

Document Code 23B

Period Covered

August 31, 2019 No. of Days Late

Department CFD

Remarks REPORTING PERSON:

0

GO JAMES L.

#### SECURITIES AND EXCHANGE COMMISSION Metro Manila, Philippines

FORM 23-B

Check box if no longer subject

to filing requirement

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 23 of the Securities Regulation Code

1. Name and	Address of Reporting Per	son	2. Issuer Name and Tradin	ig Symbol			7. Relationship of Repo	orting Person to issuer	(Check all applicable)	
GO,	JAMES	L.		PLDT In	c. (TEL)					
(Last)	(First)	(Middle)	Tax Identification     Number		5. Statement f Month/Year		_ <u>x</u>	Officer (give title below)		10% Owner Other (specify below
43/F Ro	binsons Equitab	le Tower, ADB Ave	124-292	-200	August 2019					
	(Street)		4. Citizenship		6. If Amendme Onginal (Mo					
Ortigas	Center, Pasig Ci	itv	Filipin	10					2-1400 147-114140 147-1480	
(City)	(Province)	(Postal Code)			•	Table 1 - Equ	ity Securities Beneficially	y Owned		
1. Class of E	Class of Equity Security		2. Transaction Date	4. Securities Acqu	ired (A) or Dispo	sed of (D)	Amount of Securities	Owned at End of Month	4 Ownership Form: Direct (D) or Indirect (I)	6. Nature of Indirect Beneficial Ownership
			(Month/Day/Year)				%	Number of Shares		Principle of the Asia Make
	0 11 1 01 1		4 1450040	Amount	(A) or (D)	Price				
Commo	n Capital Stock	5	August/15/2019		Α	P1,140.6985/				-
			August/16/2019		Α	P1,131.0000/				
			August/19/2019		Α	P1,138.0097/				
			August/20/2019		Α	P1,114.1597/			<u> </u>	
			August/22/2019	2,000	Α	P1,100.0000/				ļ
			August/27/2019	840	Α	P1,100.0000/	0.062124%	135,914	D	N/A
				(shares)		share		(certificated)		
							0.195667%	428,080	l l	Thru PCD
								(PCD)		
						TOTAL	0.257791%	563,994		
NOTE: La	et filing of SEC Form	23-B on August 7, 2019								
NOTE. La	st ming of SEC POINT 2	.3-D UII AUGUST 1, 2019	1	-			NOTE: Total issued	and outstanding share	es as of August 31, 20	19 = 218,779,886 shares

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder. Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (9) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household; (B) held by a partnership in which such person is a general partner,
  - (C) held by a corporation of which such person is a controlling shareholder, or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

(Print or Type Responses)

## FORM 23-B (continued)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., warrants, options, convertible securities)

. Derivative Security	Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	Number of Derivative Securities     Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		Title and Amount of Underlying Securities		7. Price of Derivative Security	Derivative Securities Beneficially Owned at	ship Form of Derivative Security; Direct (D)	of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or inairect (I)	
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Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

Date

# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

## m Security and Issuer

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

## m Identity and Background

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address;
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining, barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

### m Purpose of Transaction

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board;
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

# m Interest in Securities of the Issuer

a State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to vote or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount of securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

# m Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### m Material to be Filed as Exhibits

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this Report is true, complete report is signed in the City of	e and accurate. ٦	Γhis
report is signed in the City of on		<u>(1)</u>

Bv:

(Signature of Reporting Person)

JAMES L. GO Director



09202019002399



# SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Information

SEC Registration No.

PW0000055

Company Name

PLDT INC.

Industry Classification

Company Type

Stock Corporation

# **Document Information**

Document ID

109202019002399

Document Type

Statement of Changes in the Beneficial Ownership

**Document Code** 

23B

Period Covered

August 31, 2019

No. of Days Late

0

Department

CFD

Remarks

REPORTING PERSON:

HERNANDEZ JUAN VICTOR INFANTE

### SECURITIES AND EXCHANGE COMMISSION Metro Manila. Philippines

FORM	23-B

N

Check box if no longer subject

to filing requirement

	Ξ٧		

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Fixed pursuant to Section 23 of the Securines Regulation Code

1 Name and Address of Reponing Person	2 Issue: Name and Tracing Syn	noi			7 Relationship of	Reporting Person to Issue	p.		
				(Check all applicable)					
HERNANDEZ, JUAN VICTOR INFANTE		(TEL)							
(Last) (First) (Middle)	Tax Identification     Number	5 Statement for Montin/Year August 2019			Director     Officer     Turve tille perowr		10% Owner Otner		
44 Belmont Drive, Alabang 400 Subdivisio	n 197-779-1								
(Street)	4 Citizenship		ment Date of MonthYear) N/A	Senior Vice President					
Bgy. Cupang, Muntinlupa City	Filipino								
(City) (Province) (Postal Code)				Table 1 - Equit	y Securities Beneficially	Owned			
1. Class of Equity Security	2. Transaction Date	4 Securities Acquired (A) or Disposed of (D)			Amount of Securities Owned at End of Month		of 4 Ownership Form Direct (D) or indirect (I)	6. Nature of Indirect Beneficial Ownership	
	(MontraDay/Year)	Amount (A) or (D) Price		%	Number of Shares				
Common Capital Stock	June/20/2018	65	A.	P1.233.00/					
	June/25/2018	2,000	D	P1,180.00/					
	September/24/2018	30	A	P1,434.00/					
	July/23/2019	125	Α	P1,165.00/					
	August/23/2019	1,800	D	P1,155.00/	0.001090%	2,385	1	Thru PCD	
		(shares)		share		(PCD)			
					0.000000%	0			
						(Certificated)			
					0.00109ū%	2,385			
OTE: Last filing of SEC Form 23-B on June 24, 2019				TOTAL					
		i			NOTE Total issued and outstanding snares as of August 31 2019 = 218.779.886 snares				

(Print of Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

teninger. Report on a separate line for each class of equity securities beneficially owned directly or indirectly

- (1) A person is directly or incirectly the beneficial owner of any equity security with respect to which he has or shares
  - (A) Voting power which includes the power to vote or to direct the voting of such security, and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of such security,
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is
- (A) held by members of a person's immediate family sharing the same nousehold

#### FORM 23-B (continued)

# Table II - Derivative Securities Acquired Disposed of or Beneficially Owned (e.g., warrants, options, convertible securities)

2 Conversion or Exercise Price of Derivative Security	Date (Month/Day/Yr)		Number of Derivative Securities Acquired (A) or Disposed of (D)		5 Date Exercisable and Expiration Date (Month/Day/Year)		6 Title and Amount of Underlying Securities		Derivative si Securities o Beneficially S	9. Owner- ship Form of Derivative Security: Direct (D)	10. Nature of Indirect Beneficial Ownership
		Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		End of Month	or Indirect (I)	
NA NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	Exercise Price of Derivative	Exercise Price of Derivative Security  Date (Month/Day/Yr)	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Amount	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Amount (A) or Disposed of (D)  Amount (A) or (D)	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Acquired (A) or Disposed of (D)  Exercisable and Expiration Date (Month/Day/Year)  Amount (A) or (D)  Date Exercisable	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Acquired (A) or Disposed of (D) Exercisable and Expiration Date (Month/Day/Year)  Amount (A) or (D)  Exercisable and Expiration Date (Month/Day/Year)	Exercise Price of Date (Month/Day/Yr)  Security  Date (Month/Day/Yr)  Amount (A) or (D)  Exercisable and Expiration Date (Month/Day/Year)  Underlying Date Exercisable Expiration Date Title	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Acquired (A) or Disposed of (D)  Exercisable and Expiration Date (Month/Day/Year)  Underlying Securities  Underlying Securities  Expiration Date (Month/Day/Year)  Amount (A) or (D)  Date Exercisable Expiration Date Title Number of Shares	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Acquired (A) or Disposed of (D)  Exercisable and Expiration Date (Month/Day/Year)  Date Exercisable Expiration Date Title Number of Shares	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Amount (A) or (D)  Exercisable and Expiration Date (Month/Day/Year)  Underlying Securities  Underlying Securities  Derivative Security  Derivative Securities  Derivative	Exercise Price of Derivative Security  Date (Month/Day/Yr)  Acquired (A) or Disposed of (D)  Exercisable and Expiration Date (Month/Day/Year)  Derivative Security  Derivative Security  Derivative Security  Derivative Security  Security  Derivative Security  Derivative Security  Owned at Direct (D) Owned at Direct (D) or Number of Shares

Evolunat	nn	-	Responses
CXDIdila	OH	UI.	Responses

Note File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

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# DISCLOSURE REQUIREMENTS IN CASE OF MATERIAL CHANGES IN BENEFICIAL OWNERSHIP (50% INCREASE/DECREASE OR EQUIVALENT TO 5% OF THE OUTSTANDING CAPITAL STOCK OF ISSUER)

## Item Security and Issuer

1.

State the title of the class of equity securities to which this Form relates and the name and address of the principal executive offices of the issuer of such securities.

### Item Identity and Background

2.

If the person filing this Form is a corporation, partnership, syndicate or other group of persons, state its name, the province, country or other place of its organization, its principal business, the address of its principal office and the information required by (d) and (e) of this Item. If the person filing this statement is a natural person, provide the information specified in (a) through (f) of this Item with respect to such person(s).

- a. Name;
- b. Residence or business address:
- c. Present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted;
- d. Whether or not, during the last five years, such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors) and, if so, give the dates, nature of conviction, name and location of court, any penalty imposed, or other disposition of the case;
- e. Whether or not, during the last five years, such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction, domestic or foreign, and as a result of such proceeding was or is subject to any order, judgment or decree, not subsequently reversed, suspended or vacated, permanently or temporarily enjoining barring, suspending or otherwise limiting involvement in any type of business, securities, commodities or banking; and
- f. Citizenship.

# Item Purpose of Transaction

3.

State the purpose or purposes of the acquisition of securities of the issuer. Describe any plans or proposals which the reporting persons may have which relate to or would result in:

- a. The acquisition by any person of additional securities of the issuer, or the disposition of securities of the issuer;
- b. An extraordinary corporate transaction, such as a merger, reorganization or liquidation, involving the issuer or any of its subsidiaries;
- c. A sale or transfer of a material amount of assets of the issuer or of any of its subsidiaries;
- d. Any change in the present board of directors or management of the issuer, including any plans or proposals to change the number or term of directors or to fill any existing vacancies on the board:
- e. Any material change in the present capitalization or dividend policy of the issuer;
- f. Any other material change in the issuer's business or corporate structure;
- g. Changes in the issuer's charter, bylaws or instruments corresponding thereto or other actions which may impede the acquisition of control of the issuer by any person;
- h. Causing a class of securities of the issuer to be delisted from a securities exchange;
- i. Any action similar to any of those enumerated above.

#### Item Interest in Securities of the Issuer

4.

a. State the aggregate number and percentage of the class of securities identified pursuant to Item 1 beneficially owned (identifying those shares which there is a right to acquire within thirty (30) days from the date of this report) by each person named in Item 2. The abovementioned information should also be furnished with respect to persons who, together with any of the persons named in Item 2, comprise a group.

- b. For each person named in response to paragraph (a), indicate the number of shares as to which there is sole power to vote or to direct the vote, shared power to dispose or to direct the disposition. Provide the applicable information required by Item 2 with respect to each person with whom the power to vote or to direct the vote or to dispose or direct the disposition is shared.
- c. Describe any transaction in the class of securities reported on that were effected during the past sixty (60) days by the persons named in response to paragraph (a). The description shall include, but not necessarily be limited to: (1) the identity of the person who effected the transaction; (2) the date of the transaction; (3) the amount o securities involved; (4) the price per share or unit; and (5) where or how the transaction was effected.
- d. If any other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of such securities, a statement to that effect should be included in response to this Item and, if such interest relates to more than five (5%) percent of the class, such person should be identified.
- e. If the filing is an amendment reflecting the fact that the reporting person has ceased to be the beneficial owner of more than five (5%) percent of the class of securities, state the date on which such beneficial ownership was reduced.

## Item Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer

5.

Describe any contract arrangement understanding or relationship among the person named in

Describe any contract, arrangement, understanding or relationship among the person named in Item 2 and between such persons and any person with respect to any securities of the issue, including but not limited to transfer or voting of any of the securities, finder's fees, joint ventures, loan or option arrangements, puts or calls, guarantees of profits, division of profits or loss, or the giving or withholding of proxies, naming the person with whom such contracts, arrangements, understandings or relationships have been entered into. Include such information for any of the securities that are pledged or otherwise subject to a contingency the occurrence of which would give another person voting power or investment power over such securities except that disclosure of standard default and similar provisions contained in loan agreements need not be included.

#### Item Material to be Filed as Exhibits

6.

Copies of all written agreements, contracts, arrangements, understandings, plans or proposals relating to:

- a. the acquisition of issuer control, liquidation, sale of assets, merger, or change in business or corporate structure or any other matter as disclosed in Item 3; and
- b. the transfer or voting of the securities, finder's fees, joint ventures, options, puts, calls, guarantees of loans, guarantees against losses or the giving or withholding of any proxy as disclosed in Item 5.

After reasonable inquiry and	to the best of my	knowledge	and belief, I	certify that the	information	set forth in	this Report is true,	complete and accurate.	This
After reasonable inquiry and report is signed in the City of	makati '	on _	9/11/11	4	*				

(Signature of Reporting Person

JUAN VICTOR I. HERNANDEZ Senior Vice President